Express Mail Label Number ER654099424US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Klaus POELLMANN, et al.

Attorney Docket: 2002DE408

Serial No.:

to be Assigned

Filed:

September 2, 2004

For:

Thermally Stable Polyalkylene Glycols as Lubricants for Refrigerators

<u>Transmittal Letter</u> Notification of Amendments Under PCT Article 34

Mail Stop: Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note that the attached pages were filed with the European Patent Office. We enclose an English translation of the claims for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,

Anthony A. Bisulca Attorney for Applicant Registration No. 40,913

(CUSTOMER NUMBER 25,255)

Clariant Corporation Industrial Property Department 4000 Monroe Road Charlotte, NC 28205 Phone 704 331-7151 Fax 704 331-7707 What is claimed is:

1. The use of compounds of the formula 1

 R^{1} [([CH₂]_k - O) - (A-O)_n - (B-O)_m - R^{2}] q (1)

5

where

R¹ is an aromatic radical having from 6 to 18 carbon atoms

R² is hydrogen, C₁- to C₁₈-alkyl or C₆- to C₁₈-aryl

10 A is an ethylene radical

B is an isopropylene radical

k is zero, 1 or 2

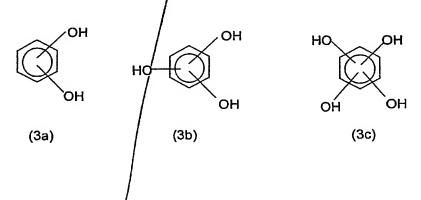
(n+m) is a number from 3 to 20,/where n is at least 1, and

q is 2, 3 or 4,

and where, when m and n are both greater than zero, the sequence of ethylene and propylene units is random

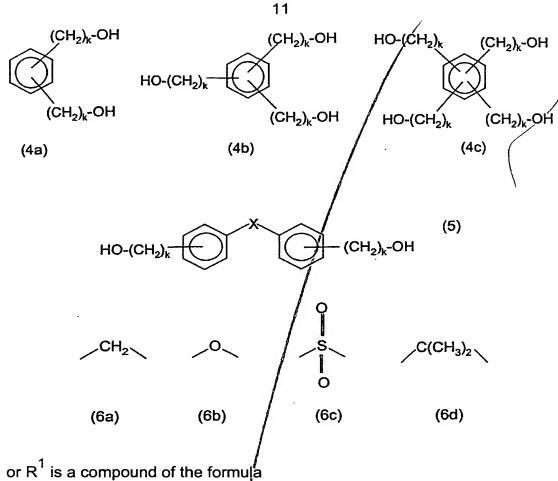
as a base oil for formulating lubricants.

20 2. The use as claimed in claim 1, wherein R¹ may be compounds of the formulae





15



·(CH₂)_k-OH **(7)** HO-(CH2)

in which each of the -OH of -(CH2)k-OH substituents may be at any position on the particular ring, and the substituents of the formula -(CH₂)_k-10 OH may occur once or twice on each of the aromatic rings.

- 3. The use as claimed in claim 1 and/or 2, wherein R¹ is derived from resorcinol (1,3-dihydroxybenżene) or pyrogallol (1,2,3-trihydroxybenzene).
- 4. The use as claimed in one or more of claims 1 to 3, wherein the sum (m+n) is from 2 to 9.
- The use as claimed in one or more of claims 1 to 4, wherein R² is an 5. 20 alkyl radical having from 1 to 12 carbon atoms.

- 6. The use as claimed in one or more of claims 1 to 5, wherein m is zero.
- 7. The use as claimed in one or more of claims 1 to 6, wherein k is 5 zero.
 - 8. A compound of the formula I where R^2 is a C_1 to C_{18} -alkyl or C_6 to C_{18} -aryl group.
- 10 9. A refrigerant for refrigerating machines, heat pumps and related units, for instance air conditioning units, which contains between 80 and 100% by weight of a compound of the formula 1.

